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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 205-A.016)

In the Application of: **Wobben**

) Group Art Unit: **2834**

Serial No: **10/543,020**

) Examiner:

U.S. Filing Date: **March 29, 2006**

)

Title: **Method for the Erection of a Wind  
Energy Plant and Wind Energy Plant**

)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

)

I hereby certify that this correspondence  
is being facsimile transmitted to the  
United States Patent and Trademark  
Office, Fax No. (571) 273-8300 on

March 5, 2007

Date

Michiko Sites

(name of person signing certificate)

Michiko Sites

Signature

**THIRD INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Submitted herewith is one (1) sheet of a modified Form PTO-1449. A copy of each document cited on the Form PTO-1449 was submitted with the Second Information Disclosure Statement (filed on September 13, 2006). An English translation of each document cited on the attached Form PTO-1449 is attached hereto.

As noted previously, the references identified on the attached Form PTO-1449 were cited in an opposition proceeding involving a European application of the Applicant's which is directed to subject matter similar to the above-referenced application.

It is believed that the Examiner may find the documents cited in the modified Form PTO-1449 material to the patentability of one or more of the claims in the above-referenced application. Accordingly, it is respectfully requested that the Examiner make his/her consideration of these documents formally of record with the next Office Action.

Respectfully submitted,



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Date: March 2, 2007

Neil A. Steinberg, Reg. No. 34,735  
Telephone No. 650-968-8079



<b>PTO-1449 (Modified)</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b> <b>205-A.016</b>	<b>SERIAL NUMBER</b> <b>10/543,020</b>
	<b>APPLICANT(S)</b> <b>Wobben</b>	
	<b>INTERNATIONAL FILING DATE</b> <b>March 29, 2006</b>	<b>GROUP ART UNIT</b> <b>2834</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO – Full/Partial

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	OD #1: English translation of Windenergieanlage vom Typ MD70, Aufstellung der Anlage: 1998, Artikel von Björn Johnsen in "Erneuerbare Energien" Ausgabe 7/99, "MD 70: 1.500-kW-Mühle mit schlankem Design"
	OD #2: English translation of Windenergieanlage vom Typ MD70, Aufstellung der Anlage: 1998, Auflistung der in der Zeit von 1998 bis Juli 2001 errichteter MD70-Anlagen
	OD #3: English translation of Fotos 1-7 vom Aufbau der MD 70 mit der Bau-Nr.: 70002-01, Standort Licherfelde in der Zeit vom 9.-15. Februar 2000
	OD #4: English translation of Eidesstattliche Versicherung des Herm Gutmundt Ertel hinsichtlich des Verfahrens zum Aufbau der MD 70 in Licherfelde

EXAMINER	DATE CONSIDERED

**EXAMINER:** Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 205-A.016)

In the Application of: **Wobben**

) Group Art Unit: **2834**

Serial No: **10/543,020**

) Examiner: **Gonzalez, Julio C.**

U.S. Filing Date: **March 29, 2006**

)

Title: **Method for the Erection of a Wind  
Energy Plant and Wind Energy Plant**

)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence  
is being facsimile transmitted to the  
United States Patent and Trademark  
Office, Fax No. (571) 273-8300 on

June 6, 2007

Date

Midhiko Sato

(name of person signing certificate)

Midhiko Sato

Signature

#### FOURTH INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith is one (1) sheet of a modified Form PTO-1449. A copy of each non-U.S. Patent document cited on the Form PTO-1449 is also submitted. Moreover, a copy of partial English translations of EP 1 389 581 and DE 15 09 511 is provided also. In accordance with the Patent Rules, the U.S. patents and patent application listed on the modified Form PTO-1449 are not attached hereto. (See, 37 C.F.R. §1.98(a)(2)). However, should the Examiner desire a copy of the documents, kindly contact the undersigned.

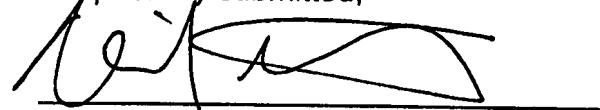
Notably, all of the documents identified herein were presented during the prosecution of the German application corresponding to the above-referenced patent application.

Further, it is believed that U.S. Patent 6,439,832 corresponds to DE 198 59 628. In addition, the undersigned is advised that Austrian Patent 191530 may have a U.S.

counterpart which was filed on June 15, 1954 by Western Electric Company. In this regard, Austrian Patent 191530 claims priority to such a U.S. application – although the undersigned has been unable to identify a U.S. patent based thereon.

It is believed that the Examiner may find the documents cited in the modified Form PTO-1449 material to the patentability of one or more of the claims in the above-referenced application. Accordingly, it is respectfully requested that the Examiner make his/her consideration of these documents formally of record with the next Office Action.

Respectfully submitted,



Neil A. Steinberg, Reg. No. 34,735  
Telephone No. 650-968-8079

Date: June 6, 2007



**COPY**

Sheet 1 of 1

<b>PTO-1449 (Modified)</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>	<b>ATTY. DOCKET NO.</b>	<b>SERIAL NUMBER</b>
	205-A.016	10/543,020
	<b>APPLICANT(S)</b>	Wobben
	<b>INTERNATIONAL FILING DATE</b>	<b>GROUP ART UNIT</b>
March 29, 2006	2834	

**U.S. PATENT DOCUMENTS**

<b>EXAMINER INITIALS</b>	<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE</b>
	6,439,832	8/2002	Siegfriedsen			

**FOREIGN PATENT DOCUMENTS**

<b>EXAMINER INITIALS</b>	<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>TRANSLATION YES/NO – Full/Partial</b>
	EP 1 389 581	7/2003	European			Partial
	DE 198 59 628	12/1998	German			
	DE 15 09 511	8/1979	German			Partial
	AT 191 530	8/1957	Austrian			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.